

NWC EMSS DECISIONAL CAPACITY/RISK CHECKLIST (Rev. 3-8-22)

Pt. name		DOB		Gender	
Witness name		Has pt been declared legally incompetent? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Chief complaint		Has pt been declared an emancipated minor? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Law enforcement	<input type="checkbox"/> Requested & provided assistance <input type="checkbox"/> Requested; denied assistance <input type="checkbox"/> Not requested	Did EMS have access to the patient? <input type="checkbox"/> Yes <input type="checkbox"/> No; pt. refused <input type="checkbox"/> No; scene deemed unsafe for EMS			

Decisional capacity assessment: If *any* of these are abnormal or impaired the pt may lack capacity. Attempt to assess & document if changes are new (acute) or features of chronic dx and how grossly abnormal EMS interprets the exam findings to be. No pt. access

	WNL	Abn./impaired new	Abn./impaired chronic
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Alertness (Abn. GCS 13 or less): E (3 or 4 OK): V (5): M (6) Total:

	WNL	Abn./impaired new	Abn./impaired chronic
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Orientation X 4: Answers accurately person, place, time, and situation (Abn. X 3 or less / 4)

	WNL	Abn./impaired new	Abn./impaired chronic
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Speech: Rate, volume, articulation, content (Note abnormality in narrative)

	WNL	Abn./impaired new	Abn./impaired chronic
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Affect: Mood/emotional response (sad, depressed, flat, anxious, irritable, angry, elated, inappropriate, and incongruent with speech content) (Note abnormality in narrative)

	WNL	Abn./impaired new	Abn./impaired chronic
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Behavior: Quiet, restless, inattention, hyperactivity, compulsions, agitated, violent? (Note abnormality)

	WNL	Abn./impaired new	Abn./impaired chronic
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Cognition: Thought processes - Confusion, delirium, delusions, hallucinations, phobias? (Note abnormality)

	WNL	Abn./impaired new	Abn./impaired chronic
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Memory: Immediate, recent, remote (amnesia/dementia?) (Note abnormality in narrative)

	WNL	Abn./impaired new	Abn./impaired chronic
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Insight: Can pt articulate lucid and logical implications and consequences to their choices? (Note abnormality)

	WNL	Abn./impaired new	Abn./impaired chronic
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EMS personnel impression of decisional capacity based on their assessment:

	WNL	Abn./impaired new	Abn./impaired chronic
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Physical exam findings (Consider usual baseline state and normal ranges for pt)

VS - BP: SpO₂ EtCO₂ Glucose ECG

	WNL	Abn./impaired new	Abn./impaired chronic
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BALANCE/Coordination – Ataxia (upper or lower extremities); tremors EYES: Nystagmus

	WNL	Abn./impaired new	Abn./impaired chronic
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Based on the suicide screen; does the patient pose an imminent risk to self? Y N

Based on the EMS risk assessment; does the pt pose an imminent risk to others? Y N

HPI/PMH	<input type="checkbox"/> Denies PMH <input type="checkbox"/> Unable to obtain PMH <input type="checkbox"/> A: Alcohol and drugs/toxins (substance use disorder); ACS/HF, arrhythmias, anticoagulation <input type="checkbox"/> E: Endocrine/exocrine, particularly thyroid/liver/adrenal dx; electrolyte/fluid imbalances; ECG: dysrhythmias/prolonged QT <input type="checkbox"/> I: Insulin disorders: hypoglycemia; DKA/HHNS <input type="checkbox"/> O: O₂ deficit (hypoxia), opioids/overdose, occult blood loss (GI/GU) <input type="checkbox"/> U: Uremia; other renal causes including hypertensive problems <input type="checkbox"/> T: (recent) Trauma, temperature changes <input type="checkbox"/> I: Infections, neurologic and systemic (sepsis); infarction <input type="checkbox"/> P: Psychological*; massive pulmonary embolism <input type="checkbox"/> S: Space occupying lesions (epi or subdural, subarachnoid hemorrhage, tumors); stroke, shock (hypotension), seizures <input type="checkbox"/> Neuro: delirium, dementia (Alzheimer's dx), developmental impairment, autism, Parkinson's disease; migraine or other headaches <input type="checkbox"/> Metabolic: acidosis (✓ EtCO ₂), vitamin/dietary deficiencies; eating disorders *Psych/behavioral: anxiety disorders, mood disorder; PTSD, mental health crisis; personality and bipolar disorders; psychosis.
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EMS CARE	<p>Did patient receive any of the following from EMS?</p> <input type="checkbox"/> Verbal de-escalation <input type="checkbox"/> Physical restraint <input type="checkbox"/> Medication sedation If yes, explain in narrative. <input type="checkbox"/> Ongoing monitoring of VS and oximetry (SpO ₂ & EtCO ₂) every 5 minutes after EMS interventions <input type="checkbox"/> Any untoward events after restraint or sedation? If yes, explain in narrative.
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Pts may not dissent to care/transport IF: EMS has access to the pt + they lack legal or decisional capacity; and/or pose an imminent risk to self, others, or is unable to care for self; and/or remains acutely & severely hemodynamically unstable/in physiologic distress with AMS after care. Transport under implied consent.

Disposition

Treat/transport w/ express consent Treat/transport w/ implied consent Decisional pt refused care/transport No care d/t EMS safety concerns

Caveats on contested collaborative care decisions/EMS safety issues:

- Non-medical persons cannot compel EMS practitioners to provide or withhold any EMS care.
- EMS personnel have no duty to place themselves at risk of bodily harm in the absence of law enforcement assistance and protection.
- OLMC cannot compel EMS to act in a way that subjects them to risk of harm – which may mean leaving a high risk patient at the scene when EMS access has been denied, law enforcement declines to assist, and/or there is reason to believe the pt may have access to lethal weapons.

EMS shall not seek OLMC approval of a refusal in these instances. Rather, they shall report the following:

We are on scene with a person who has denied us access to provide a reasonable assessment and law enforcement has declined to intervene; OR we have determined that this person has legal and decisional capacity and they appear to pose no imminent risk to self or others and declines to be transported at the present time. They have been informed of the benefits of Rx/transport, given disclosure of the risks of dissenting, alternatives for care, and they demonstrate appropriate insight. They persist in declining our assistance. We are therefore leaving them in their current environment.